



Receipt  
P/2623-53  
TIN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Gallus SCHECHNER, et al.

Date: March 10, 2006

Serial No.: 10/528,842

Group Art Unit: 1761

Filed: July 26, 2005

Examiner: ---

For: **COATED CHEWING GUM**

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Commissioner for Patents  
Office of Initial Patent Examination's  
Filing Receipt Corrections  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SECOND REQUEST FOR A CORRECTED FILING RECEIPT**

Sir:

On July 26, 2005 we submitted to the Patent Office an Assignment from the inventors to "Sustech GmbH & Co. KG" and "Sudzucker Aktiengesellschaft" (copy enclosed). However, the original filing receipt mailed on September 28, 2005 listed only the first Assignee, i.e. Sustech GmbH & Co. KG. Therefore on October 31, 2005 we submitted a Request for Corrected Filing Receipt in order to add the second Assignee, i.e. Sudzucker Aktiengesellschaft. After further consideration with our client, however, we submitted to the Patent Office a second Assignment, from "Sustech GmbH & Co. KG" to "Sudzucker Aktiengesellschaft" on January 25, 2006 (copy enclosed) to reflect that this application is owned solely by Sudzucker Aktiengesellschaft. In response to our October 31, 2005 request, therefore, the Patent Office mailed to us on February 17, 2006 a corrected Filing Receipt reflecting both Assignees. Thus the second Assignment sent on January 25<sup>th</sup> is not reflected in the filing receipt. We are, therefore, submitting this Second Request for a Corrected Filing Receipt in order to reflect the change from the two currently listed Assignees, i.e. Sustech GmbH & Co. KG and Sudzucker Aktiengesellschaft to the sole Assignee, i.e. Sudzucker Aktiengesellschaft.

Please issue a corrected filing receipt for the above identified patent application as follows.

In the "Assignment For Published Patent Application" section, please delete the first Assignee listed

**Assignment For Published Patent Application**

~~Sustech GmbH & Co. KG, Darmstadt, GERMANY~~  please delete

Südzucker Aktiengesellschaft, Mannheim, GERMANY

A copy of the filing receipt reflecting the above requested change is enclosed.

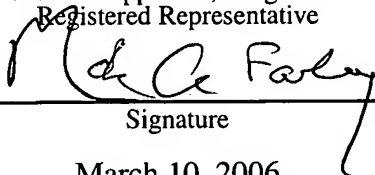
It is requested that the U.S. Patent and Trademark Office issue a corrected filing receipt at the earliest possible date.

No fee is believed to be due with this Request. In the event payment of any fee is inadvertently not enclosed, or if any additional fee during the prosecution of this case is not paid, the Patent and Trademark Office is authorized to charge the underpayment to Deposit Account No. 15-0700

I hereby certify that this correspondence is being facsimile transmitted to the Commissioner for Patents Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195 on: March 10, 2006

Mark A. Farley

Name of applicant, assignee or  
Registered Representative

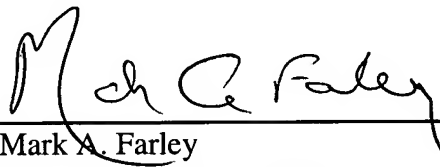


Signature

March 10, 2006

Date of Signature

Respectfully submitted,



Mark A. Farley

Registration No.: 33,170

OSTROLENK, FABER, GERB & SOFFEN, LLP

1180 Avenue of the Americas

New York, New York 10036-8403

Telephone: (212) 382-0700

MAF:jl

## PATENTS RECORDATION COVER SHEET

Director of the U.S. Patent and Trademark Office: Please record the attached original document or copy thereof.

## 1. Name of conveying party(ies):

Gallus SCHECHNER  
Carola BRAUNBARTH  
Tilo POTH  
Holger FRANKE  
Lutz GUDERJAHN  
Jörg KOWALCZYK

Type of Entity: Individual

Additional names(s) of conveying party(ies) attached? No

## 2. Name and Address of receiving party(ies):

Sustech GmbH & Co. KG  
Petersenstrasse 20  
64287 Darmstadt  
GERMANY

-AND-

Südzucker Aktiengesellschaft  
Mannheim/Ochsenfurt  
Maximilianstrasse 10  
68165 Mannheim  
GERMANY

Type of Entity: Corporation-Germany; Corporation-Germany, respectively

Additional name(s) & address(es) attached? No

## 3. Nature of Conveyance:

Assignment

Execution Date: July 4, 2005; June 16, 2005,  
June 21, 2005; June 21, 2005;  
June 17, 2005 and June 13,  
2005, respectively

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

## A. Application No.(s)

10/528,842

## B. Patent No.(s)

Additional numbers attached? No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

OSTROLENK, FABER, GERB & SOFFEN, LLP  
1180 Avenue of the Americas  
New York, New York 10036-8403

## 6. Total number of documents involved: 1

## 7. Total fee (37 CFR 3.41): \$ 40.00

Enclosed as part of Check No. 21347

In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed or if any additional fee due is not paid, the Patent and Trademark Office is authorized to charge the underpayment to Deposit Account No. 15-0700.

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## 8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Mark A. Farley

Name of Person Signing

Signature

July 26, 2005

Date

Total number of pages including cover sheet, attachments, and document: 2


167/165  
2586041PATENT APPLICATION ASSIGNMENT -  
SOLE OR JOINT INVENTORS  
EXECUTED OUTSIDE U.S.A.

OFGS FILE NO. P/2107-267

WHEREAS, I(We), Gallus SCHECHNER, Carola BRAUNBARTH, Tilo POTH, Holger FRANKE, Lutz GUDERJAHN and Jörg KOWALCZYK as assignor(s), have invented certain improvements in COATED CHEWING GUM for which an application for United States Letters Patent has been executed by me (us) of even date herewith; and

WHEREAS, Systech GmbH & Co. KG located at Petersenstrasse 20, 64287 Darmstadt, Germany and Südzucker Aktiengesellschaft Mannheim/Ochsenfurt located at Maximilianstrasse 10, 68165 Mannheim, Germany as assignee, is desirous of acquiring all right, title and interest in and to said invention and any Patent that may be granted therefor.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, I (We), as assignor(s), hereby sell, assign and set over to said assignee the entire right, title and interest for the United States in and to said invention and the aforesaid application for Patent, all original, divisional, continuation, substitute, reissue or reexamination applications and patents applied for or granted therefor in the United States and all rights for past infringement, and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said inventions or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for myself (ourselves) and my (our) legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all divisional, continuation, reissue and other applications for Patent on said inventions and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said inventions, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to assist assignee, its successors, assigns and nominees to obtain patent protection for said invention in the United States, the expenses incident to said applications to be borne and paid by said assignee.

Date: 4 7. 2005  
Gallus SCHECHNERDate: 16. Juni 2005  
Carola BRAUNBARTHDate: 21. 06. 2005  
Tilo POTHDate: 21 06. 2005  
Holger FRANKEDate: 17.06.2005  
Lutz GUDERJAHNDate: 13.06.2005  
Jörg KOWALCZYK

LEGALIZATION RECOMMENDED



P/2107-267

## PATENTS RECORDATION COVER SHEET

To the Director of the U.S. Patent and Trademark Office: Please record the attached original document or copy thereof.

1. Name of conveying party(ies):

Sustech GmbH &amp; Co. KG

Type of Entity: Corporation-Germany

Additional names(s) of conveying party(ies) attached? No

2. Name and Address of receiving party(ies):

Südzucker Aktiengesellschaft  
Mannheim/Ochsenfurt  
Maximilianstrasse 10  
68165 Mannheim  
GERMANY

Type of Entity: Corporation-Germany

Additional name(s) &amp; address(es) attached? No

3. Nature of Conveyance:

Assignment

Execution Date: January 6, 2006

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Application No.(s)

10/528,842

B. Patent No.(s)

Additional numbers attached? No

5. Name and address of party to whom  
correspondence concerning document should  
be mailed:OSTROLENK, FABER, GERB & SOFFEN, LLP  
1180 Avenue of the Americas  
New York, New York 10036-8403

6. Total number of documents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

Enclosed as part of Check No. 23332

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inadvertently not enclosed or if any additional fee due is not paid, the  
Patent and Trademark Office is authorized to charge the  
underpayment to Deposit Account No. 15-0700.

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8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached  
copy is a true copy of the original document.

Mark A. Farley

Name of Person Signing

Signature

January 25, 2006

Date

Total number of pages including cover sheet, attachments, and document: 3

COPY



167/WS  
25860

ASSIGNMENT

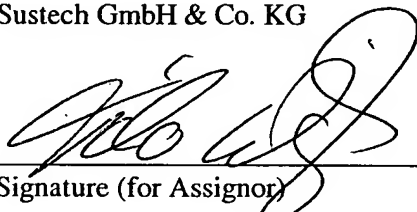
OFGS File No. P/2107-267

WHEREAS, Sustech GmbH & Co. KG, a German Corporation, having a place of business at Petersenstrasse 20, 64287 Darmstadt, Germany (hereinafter referred to as ASSIGNOR) and Südzucker Aktiengesellschaft Mannheim/Ochsenfurt, having a place of business at Maximilianstrasse 10, 68165 Mannheim, Germany, are the owners of United States Patent Application Serial No. 10/528,842 having a filing date of July 26, 2005, for COATED CHEWING GUM by an assignment from the inventors Gallus SCHECHNER, Carola BRAUNBARTH, Tilo POTH, Holger FRANKE, Lutz GUDERJAHN and Jörg KOWALCZYK forwarded for recordation with the United States Patent and Trademark Office on July 26, 2005; and

WHEREAS, Südzucker Aktiengesellschaft Mannheim/Ochsenfurt (hereinafter referred to as ASSIGNEE) is desirous of acquiring all of Assignor's right, title and interest in said patent and the invention set forth therein;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby assigns and transfers to said ASSIGNEE its entire right, title and interest in and to the United States Patent Application Serial No. 10/528,842.

Sustech GmbH & Co. KG

  
\_\_\_\_\_  
Signature (for Assignor)

Dr. Tilo Weiß

Printed Name

President SusTech GmbH & Co.KG

Title

LEGALIZATION RECOMMENDED

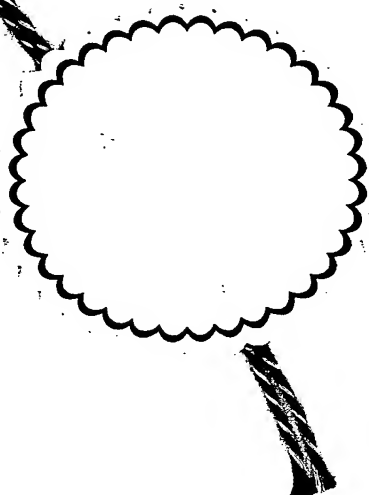


UR. Nr. 28 /2006 Dr.v.R.

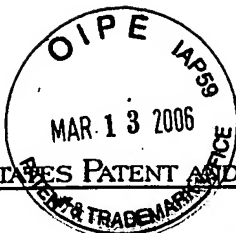
ham/Az: 28480/06

Hierdurch beglaube ich die vorstehende, vor mir anerkannte Unterschrift von Herrn Tilo Weiß, geboren am 02.07.1967, wohnhaft Marbacher Straße 17 in 40597 Düsseldorf, ausgewiesen durch Personalausweis.

Düsseldorf-Benrath, den 06. Januar 2006



*v. Rintelen*  
(Dr.v.Rintelen)  
Notar



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/528,842	07/26/2005	1761	2080	P/2107-267		41	3

CONFIRMATION NO. 8538

## CORRECTED FILING RECEIPT



\*OC000000018085795\*

2352  
 OSTROLENK FABER GERB & SOFFEN  
 1180 AVENUE OF THE AMERICAS  
 NEW YORK, NY 100368403

Date Mailed: 02/17/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Gallus Schechner, Ober-Ramstadt, GERMANY;  
 carola Braunbarth, Rossdorf, GERMANY;  
 Tilo Poth, Weinheim, GERMANY;  
 Holger Franke, Ginsheim, GERMANY;  
 Lutz Guderjahn, Offstein, GERMANY;  
 Jorg Kowalczyk, Eisenberg, GERMANY;

## Assignment For Published Patent Application

~~Suctech GmbH & Co. KG, Darmstadt, GERMANY~~  
 Südzucker Aktiengesellschaft, Mannheim, GERMANY

← Please delete

Power of Attorney: The patent practitioners associated with Customer Number 2352.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/10213 09/13/2003

## Foreign Applications

GERMANY 102 48 632.8 09/23/2002

If Required, Foreign Filing License Granted: 02/17/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/528,842**

Projected Publication Date: Not Applicable



**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Coated chewing gum

**Preliminary Class**

426

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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p/2107-267

RCF  
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WJ



\*\*\*\*\*  
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### FACSIMILE TRANSMITTAL SHEET

DATE: March 10, 2006NUMBER OF PAGES, INCLUDING COVER: 13

TO:

NAME/COMPANY	FACSIMILE NO.	
Dr. Andreas Schrell Gleiss & Grosse	011-49-711-99-3-11-200	<input type="checkbox"/> SUCCESSFULLY FAXED
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FROM: Mark A. FarleyOFGS FILE NO.: P/2107-267 RETURN TO: Jane

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# OSTROLENK, FABER, GERB & SOFFEN, LLP

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## FACSIMILE TRANSMITTAL SHEET

DATE: March 10, 2006

NUMBER OF PAGES, INCLUDING COVER: 13

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		<input type="checkbox"/> SUCCESSFULLY FAXED
		<input type="checkbox"/> SUCCESSFULLY FAXED

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